

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DONALD McCLUSKEY ET AL.)
SERIAL NO.: 10/065,386) Group Art Unit: 1745
FILED: October 11, 2002) Examiner: S. Kalafut
FOR: METHOD AND SYSTEM FOR)
BALANCED CONTROL OF BACKUP)
POWER)

Commissioner for Patents
P.O. Box 1450
Alexandria, Va 22313-1450

LETTER

Dear Sir:

Applicant hereby brings to the Examiner's attention the following co-pending applications having potentially related subject matter:

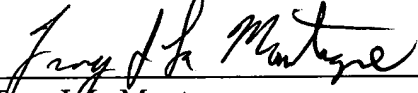
Application U.S. Serial No. 10/065,387, "METHOD AND SYSTEM FOR CONTROLLING AND RECOVERING SHORT DURATION BRIDGE POWER TO MAXIMIZE BACKUP POWER", filed October 11, 2002 by John Speranza, et al.

By such disclosure, Applicant does not waive and has no intent to waive any statutory right to maintain the secrecy of the above-referenced applications.

Applicant believes there is no fee associated with this Letter. However, if there are any additional charges with respect to this Letter or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicant's attorneys.

Respectfully Submitted,

CANTOR COLBURN LLP

By 
Troy J. LaMontagne

Date: May 25, 2004

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
PES-D-02026

In Re Application Of: **Donald McCluskey, et al.**

Serial No.
10/065,386

Filing Date
October 11, 2002

Examiner
S. Kalafut

Group Art Unit
1745

Title: **METHOD AND SYSTEM FOR BALANCED CONTROL OF BACKUP POWER**



Address to:
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P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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S. Kalafut

Group Art Unit
1745

METHOD AND SYSTEM FOR BALANCED CONTROL OF BACKUP POWER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **06-1130**
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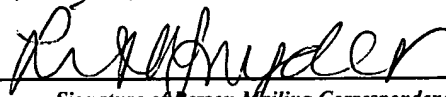
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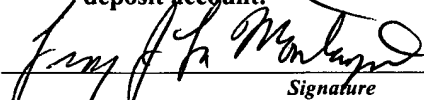
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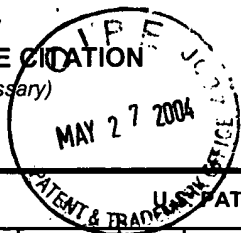
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Dated: **May 25, 2004**

CC:

INFORMATION DISCLOSURE CITATION

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PES-D-02026

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Donald McCluskey, et al.

FILING

October 11, 2002

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PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,011,324	1/4/00	Kohlstruck, et al.	307	64	
	5,942,811	8/24/99	Stumfall, et al.	307	64	
	6,163,088	12/19/00	Codina, et al.	307	64	
	20020109410A1	8/15/02	Young, et al.	307	64	
	20020117899A1	8/29/02	Seefried, et al.	307	64	
	20020140293A1	10/3/02	Rothleitner, et al.	307	64	
	5,810,284	9/22/98	Hibbs, et al.	244	13	
	6,134,124	10/17/02	Jungreis, et al.	363	34	
	6,184,593	2/6/01	Jungreis, et al.	307	64	
	6,555,989	4/29/03	Pearson	302	101	
	20030072977A1	4/17/03	Speranza et al.	429	9	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP02002075388A	3/15/02	Japan			✓	
	JP02001266923A	9/28/01	Japan			✓	
	JP410153165A	6/9/98	Japan			✓	
	EP0755088A2	1/22/97	EP				
	WO 02/10877A2	2/7/02	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 01/28017A2	4/19/01	PCT				
	WO 01/71885	9/27/01	PCT				
	WO 02/084670	10/24/02	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Docket Number (Optional) PES-D-02026	Application Number 10/065,386
		Applicant(s) Donald McCluskey, et al.	
		Filing Date October 11, 2002	Group Art Unit 1745
*EXAMINER INITIAL	OTHER DOCUMENTS (Including Abstract, File, Date, Pertinent Pages, Etc.)		
	Warner, S.M., "The Operation of Aluminum-Air Reserve Power Systems," IEEE Intelec '91, November 1991		
	Key, T., "Fast Response, Load Matching Hybrid Fuel Cell." EPRI PEAC Quarterly Review Meeting, Washington, D.C., July 27, 2001		
	Warner, S.M., "Extending the Reliability of DC Power in a Telecommunications Network", INTELEC '93 15 International Telecommunications Energy Conference, Paris, 1993		
	Maloney, T., "PEM-Battery: Design, Construction, and Test, "Power Computing Solutions, Inc., NETL Publications, 1999 Conference Proceedings		
	Ertl, H. et al., "A Novel Multi-Cell DC-AC Converter for Applications in Renewable Energy Systems, "Proceedings of the 43rd International Power Electronics Conference, June 2001		
	Passive Component Industry, "Large-Can EDLC Capacitors: A Market in the Making", July/August 2001		
	Nickerson, J., "Ultracapacitors: Managing Power and Energy", Darnell Group, Inc. 2000		
	Kato, N., et al., "A 1 kW Portable Fuel Cell System Based on Polymer Electrolyte Fuel Cells, "NTT R and D, vol. 48, no. 12, pp. 877-881, 1991		
	E.M. Cortright, chairman, "Report of Apollo 13 Review Board, "NASA, Appendix A, pp. A-42 to A-51, June 15, 1970		
	Letter from Robert C. Laurenson of Hawrey, Simon, Arnold & White dated 9/4/03 including Claim Chart of U.S. Patent Application Publication No. 2003/0072977 A1 (7 pages)		
	Co-Pending letter		
EXAMINER		DATE CONSIDERED	
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